

1 Evaluation of sea lamprey-associated mortality sources on a generalized lake sturgeon  
2 population in the Great Lakes  
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4 Norine E. Dobiesz<sup>1\*</sup>

5 James R. Bence<sup>1</sup>

6 Trent Sutton<sup>2</sup>

7 Mark Ebener<sup>3</sup>

8 Thomas C. Pratt<sup>4</sup>

9 Lisa M. O'Connor<sup>4</sup>

10 Todd B. Steeves<sup>5</sup>

11  
12 <sup>1</sup> Quantitative Fisheries Center, Department of Fisheries and Wildlife, Michigan State University,  
13 293 Farm Lane - Room 153, Giltner Hall, East Lansing, MI 48824 -1101, USA  
14 ([dobieszn@msu.edu](mailto:dobieszn@msu.edu), [bence@msu.edu](mailto:bence@msu.edu))

15 <sup>2</sup> University of Alaska Fairbanks, College of Fisheries and Ocean Sciences, Department of  
16 Fisheries, 2150 Koyukuk Drive, Fairbanks, Alaska 99775, USA ([tmsutton@alaska.edu](mailto:tmsutton@alaska.edu))

17 <sup>3</sup> 4234 I75 Business Spur, #250, Sault Sainte Marie, Michigan 49783 ([tflwc@yahoo.com](mailto:tflwc@yahoo.com))

18 <sup>4</sup> Great Lakes Laboratory for Fisheries and Aquatic Sciences, Fisheries and Oceans Canada, 1219  
19 Queen St. E., Sault Ste. Marie, Ontario P6A 2E5, Canada ([thomas.pratt@dfo-mpo.gc.ca](mailto:thomas.pratt@dfo-mpo.gc.ca),  
20 [lisa.oconnor@dfo-mpo.gc.ca](mailto:lisa.oconnor@dfo-mpo.gc.ca))

21 <sup>5</sup> Sea Lamprey Control Centre, Fisheries and Oceans Canada, 1219 Queen Street East, Sault Ste.  
22 Marie Ontario, P6A 2E5, Canada ([mike.steeves@dfo-mpo.gc.ca](mailto:mike.steeves@dfo-mpo.gc.ca))

23



25 **Abstract**

26 Lake sturgeon populations in the Laurentian Great Lakes experience two age-specific  
27 mortality sources influenced by the sea lamprey *Petromyzon marinus* control program:  
28 lampricide (TFM) exposure-induced mortality on age-0 fish and sea lamprey predation on sub-  
29 adults (ages 7-24). We used a generic age-structured population model to show that although  
30 lampricide-induced mortality on age-0 lake sturgeon can limit attainable population abundance,  
31 sea lamprey predation on sub-adult lake sturgeon may have a greater influence. Under base  
32 conditions, adult lake sturgeon populations increased by 5.7% in the absence of TFM toxicity if  
33 there was no change in predation, whereas a 13% increase in predation removed this effect,  
34 and a doubling of sea lamprey predation led to a 32% decrease in adult lake sturgeon. Our  
35 estimates of lake sturgeon abundance were highly dependent on the values of life history and  
36 mortality parameters but the relative impacts of ceasing TFM treatment and increasing  
37 predation were robust given a status quo level of predation. The status quo predation was  
38 based on sea lamprey wounding on lake sturgeon, and improvements in this information would  
39 help better define tradeoffs between the mortality sources for specific systems. Reduction or  
40 elimination of TFM toxicity on larval lake sturgeon, while maintaining TFM toxicity on larval sea  
41 lamprey, can promote lake sturgeon restoration and minimize negative impacts on other fish  
42 community members.

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47 Keywords: lake sturgeon, sea lamprey control, TFM toxicity, Laurentian Great Lakes

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## 49 Introduction

50 Lake sturgeon *Acipenser fulvescens*, once abundant in the Laurentian Great Lakes,  
51 became scarce by the 1920s primarily as a consequence of intense fishing pressure intended to  
52 limit damage that fish caused to nets used to harvest more valuable species (Smith, 1968).  
53 Other factors also contributed to lake sturgeon declines, which included poor water quality,  
54 loss of spawning habitat, barriers to migration, and commercial exploitation (Auer, 1996;  
55 Harkness and Dymond, 1961; Rochard et al., 1990; Smith, 1968). More recently, researchers  
56 have implicated climate change and its related reductions in water levels and increases in water  
57 temperature and egg predation by round goby *Neogobius melanostomus* (Bruch and Binkowski,  
58 2002; Thomas and Haas, 2002) as factors inhibiting the recovery of lake sturgeon populations.  
59 Sea lamprey *Petromyzon marinus* control efforts that have involved the application of the  
60 lampricide 3-trifluoromethyl-4-nitrophenol (TFM) have also been identified as a factor  
61 contributing to age-0 lake sturgeon mortality (Boogaard et al., 2003). As a result of these  
62 stressors, lake sturgeon are listed as threatened in all of the states of the USA surrounding the  
63 Laurentian Great Lakes (Birstein et al., 1997), threatened in the Canadian province of Ontario  
64 (Great Lakes – Upper St. Lawrence River populations) under the Provincial *Endangered Species*  
65 *Act, 2007*, and vulnerable on the IUCN Red List (IUCN, 2011).

66 The lake sturgeon is a benthivore, valued for its historical role in the Great Lakes fish  
67 community, as a key element in the culture of native peoples, and for its unique prehistoric  
68 ancestry that attracts considerable public attention (Beck, 1995; Centre, 2015; Hayes and  
69 Caroffino, 2012; Peterson et al., 2007). Lake sturgeon are found throughout the Great Lakes  
70 basin (Baker, 1980; Hay-Chmielewski and Whelan, 1997; Priegel et al., 1974), including the St.  
71 Marys River (Bauman et al., 2011), the St. Clair River and Lake St. Clair (Thomas and Haas, 2002)  
72 and the Detroit River (Caswell et al., 2004; Roseman et al., 2011), and in inland waters  
73 surrounding the lakes (Bruch et al., 2006; Noakes et al., 1999; Vecsei, 2011). The larger  
74 tributaries support critical sturgeon spawning and age-0 rearing habitat, but also provide  
75 spawning areas for invasive sea lamprey. Sea lamprey are fish parasites in the Great Lakes and  
76 seek hosts in the open waters following transformation from the larval life stage (Smith and  
77 Tibbles, 1980). Sea lamprey parasitism often results in host mortality, although attack rates  
78 have been shown to vary by body length (Swink, 2003). For lake sturgeon, survival following a

79 sea lamprey attack has also been found to vary with length, with smaller individuals generally  
80 more susceptible to mortality (Patrick et al., 2009). Larger lake sturgeon have been found with  
81 more than one sea lamprey wound indicating they can survive multiple attacks. However,  
82 ultimate fitness may be reduced for individuals following multiple sea lamprey attacks  
83 (Sepulveda et al., 2012).

84 One of the mandates of the binational Great Lakes Fishery Commission is to control sea  
85 lamprey in the Great Lakes and reduce their impact on historically, commercially, and  
86 ecologically important fishes such as lake trout *Salvelinus namaycush* (Gaden et al., 2008). The  
87 primary method of controlling larval sea lamprey in rivers and streams is the application of TFM  
88 or a combination of TFM and niclosamide (2', 5-dichloro-4'-nitrosalicylanilide) in three- to five-  
89 year cycles (Adair and Sullivan, 2009). Toxicity of TFM and efficacy of application varies widely  
90 and can be influenced by pH, alkalinity, season, and stream flow (Bills et al., 2000; Bills et al.,  
91 2003; Boogaard et al., 2003; O'Connor et al., 2017; Scholefield et al., 2008), but has been  
92 effective in reducing larval sea lamprey abundance and subsequent wounding caused by  
93 parasitic sea lamprey (Morse et al., 2003; Schleen et al., 1998; Smith and Tibbles, 1980).  
94 Lampricides, particularly TFM, have also been shown to increase mortality of other stream-  
95 dwelling species (Dawson et al., 2002; Lech, 1974; Maki et al., 1975), including age-0 lake  
96 sturgeon (Bills et al., 2000; Boogaard et al., 2003; Johnson et al., 1999; O'Connor et al., 2017).  
97 While toxicity of TFM to age-0 sturgeon appears to be lower than originally reported, toxicity is  
98 still estimated to be high in high alkalinity tributaries (O'Connor et al., 2017). To limit some of  
99 the negative impacts of TFM on age-0 sturgeon, the Sturgeon Treatment Protocol was  
100 developed to minimize mortality of larval lake sturgeon by applying a lower dose of TFM and  
101 delaying the time of application until after August 1<sup>st</sup> when most of the lake sturgeons  
102 exceeded 100mm in length, a size where TFM toxicity is highly reduced (Klar et al., 1999;  
103 TOP:011.10A, 2012).

104 Lake sturgeon survival is impacted by sea lamprey directly through parasitism on sub-  
105 adults and through TFM toxicity on age-0 fish in tributaries during lampricide treatments. When  
106 sea lamprey treatments are delayed, either later in the season (Sturgeon Treatment Protocol)  
107 or by skipping a treatment cycle to minimize the negative impact on age-0 lake sturgeon, more  
108 parasitic sea lamprey may be produced, thereby increasing parasitism of fishes in the Great

109 Lakes (Christie and Goddard, 2003; Ebener et al., 2003; King, 1980; Larson et al., 2003; Stewart  
110 et al., 2003). Although TFM has the potential to impact lake sturgeon abundance (Caroffino et  
111 al., 2010b), it is possible this produces only a small percentage change in total survival from egg  
112 to later life stages, given the already high natural mortality of lake sturgeon from egg to age-0.  
113 As a result, increasing the abundance of lake sturgeon is a tradeoff between mortality impacts  
114 on different age groups. In this case, it is critical to examine whether the application of TFM and  
115 its associated mortality on age-0 lake sturgeon exceeds the losses caused by sea lamprey  
116 parasitism on sub-adults and adults.

117 Sutton et al. (2003) and Velez-Espino and Koops (2009) used age-structured population  
118 models to examine this tradeoff and concluded that reducing sub-adult and adult lake sturgeon  
119 mortality improved long-term population viability more so than reducing mortality on younger  
120 life stages. Since these studies were completed, new information has become available on TFM  
121 toxicity to age-0 lake sturgeon and the vulnerability of older lake sturgeon to sea lamprey  
122 parasitism (O'Connor et al., 2017; Patrick et al., 2009). Consequently, we updated the analyses  
123 conducted by Sutton et al. (2003) to reexamine the effects of sea lamprey control efforts on  
124 lake sturgeon equilibrium population abundances. The objectives of this study were to (1)  
125 examine the effects of TFM-induced mortality on age-0 lake sturgeon on adult sturgeon  
126 recruitment; (2) evaluate how changes in sea lamprey predation on sub-adult lake sturgeon  
127 affects sturgeon abundance; and (3) determine which mortality sources have the greatest  
128 influence on lake sturgeon population abundance. The model results will improve our  
129 understanding of the factors impacting lake sturgeon population viability and help direct  
130 conservation efforts to areas that will provide the best chance of recovery.

131

## 132 **Methods**

### 133 *Age-structured Model*

134 We used an age-structured model to represent a generalized population of lake  
135 sturgeon in the Great Lakes to compare the effects of TFM-induced mortality on age-0 fish and  
136 increased sea lamprey parasitism on sub-adult lake sturgeon (Table 1). We used existing life-

137 history data from Sutton et al. (2003) and more recent estimates for several mortality sources  
138 (Table 1). Age-0 lake sturgeon recruits were generated using a stock recruitment model that  
139 employed information about reproductive potential (Sutton et al., 2003). We estimated total  
140 abundance but only included females in reproductive calculations, assuming that a sufficient  
141 number of males exist in the population and that they experience the same level of mortality as  
142 females.

143 Because sources of mortality and conditional mortality rates varied by age, we divided  
144 the population into four life-stage categories: age-0, juveniles (ages 1-6), sub-adults (ages 7-24),  
145 and adults (ages 25+). The maximum age of a lake sturgeon was set to 100 years and the age of  
146 female maturity was 25 years. The model was constructed in R (R Development Core Team,  
147 2010) and simulated 500 years to allow the population to reach equilibrium.

148 Numbers at age-1 and older life stages were projected using an exponential population  
149 function,

$$150 \quad N_a = N_{a-1} * e^{-Z_{a-1}}, \quad (1)$$

151 where age  $a$  was 1 to 100 years and  $Z$  was the instantaneous total mortality rate based on the  
152 sum of the mortality sources from natural mortality ( $M$ ), TFM-induced mortality ( $Mt$ ), and sea  
153 lamprey predation ( $Ms$ ) for each age group  $a$ :

$$154 \quad Z_a = M_a + Mt_a + Ms_a . \quad (2)$$

155 Age-0 recruits experience different natural mortality rates during the larval life stage (Caroffino  
156 et al., 2010b), the subsequent age-0 juvenile life stage (Caroffino et al., 2010b), and the period  
157 between winter and the following summer at age one (Crossman et al., 2009). We captured the  
158 higher early mortality, by assuming an overall finite natural mortality rate for age-0 fish of  
159 0.9998 (Caroffino et al., 2010a) and used the Sutton et al. (2003) instantaneous natural  
160 mortality rate of 0.25 for juvenile lake sturgeon that had been estimated for Gulf sturgeon  
161 *Acipenser oxyrinchus desotoi* (Pine et al., 2001). Sutton et al. (2003) assumed there was no  
162 natural mortality on sub-adult or adult lake sturgeon. However, lake sturgeon are exposed to  
163 several other sources of mortality such as boat strikes (Hayes and Caroffino, 2012), parasitism  
164 by silver lamprey *Ichthyomyzon unicuspis*, botulism poisoning (Clapp et al., 2011; Elliott et al.,

165 2005), tribal subsistence and state-licensed sport angler harvest in some areas, and incidental  
166 capture in commercial fishing gear. Although these studies did not estimate natural mortality,  
167 we assigned a small value of natural mortality to sub-adult and adult lake sturgeon ( $M_{a>6} = 0.01$ )  
168 to account for these sources of mortality.

169 When TFM is applied to a stream, age-0 lake sturgeon may experience an increase in  
170 mortality. Lacking specific TFM-toxicity data, Sutton et al. (2003) allowed TFM-induced  
171 mortality to vary between 0 and 100%. However, new research has estimated the mean TFM-  
172 induced mortality at 21% (O'Connor et al., 2017). Earlier research noted reduced mortality on  
173 age-0 lake sturgeon once they exceeded 100 mm (Boogaard et al., 2003), while more recent  
174 observations from the Muskegon River noted mortality impacts on lake sturgeon up to 220 mm  
175 (Justin Chiotti, USFWS, August 2017, personal communication). Given the length-at-age  
176 relationship we used, age-0 lake sturgeon reach a maximum size of 280 mm near the end of  
177 their first year of life (Figure 1); thus, we applied the mean TFM toxicity to all age-0 fish, and  
178 assumed that individuals age-1 and older were not susceptible to TFM toxicity (i.e.,  $Mt_{a>0} = 0$ )  
179 (Boogaard et al., 2003).

180 Tributaries with larval sea lamprey are generally treated on a three- to five-year cycle  
181 (Sullivan et al., 2016). Because we did not model a specific stream, we accounted for an average  
182 treatment frequency of every four years. Rather than model pulses of TFM mortality we applied  
183 a constant instantaneous TFM-induced rate, which we calculated by multiplying the conditional  
184 TFM-induced mortality rate by 0.25 before converting it to an instantaneous rate.

185 Given the length-at-age relationship we used, lake sturgeons exceed 40 cm in length by  
186 age 4 and become vulnerable to sea lamprey parasitism (Figure 1). Sea lamprey wounding rates  
187 (Rutter and Bence, 2003) and the probability of surviving an attack (Patrick et al., 2009) varied  
188 with lake sturgeon length. We used a relationship between average wounding rate and the  
189 probability of surviving an attack (Bence et al., 2003) to estimate an instantaneous predation  
190 mortality for ages 4 and older:

$$191 \quad Ms_{a>4} = Wr * Ws_b * (1 - Ps_b) / Ps_b, \quad (3)$$



192 where  $Wr$  was the wounding rate (sum of A1, A2, and A3 wounds per fish; see Ebener et al.  
193 (2003) on large fish (larger than 76 cm) and was set to 0.22 (Sutton et al. 2003). The parameter  
194  $Ws_b$  was an estimate of the relative marking rate on smaller size classes, based on relative  
195 marking rates observed on the same size classes of lake trout. This scaled the wounding rate  
196 for large fish to the smaller length bins  $b$  (Mark Ebener, unpublished data). Length bins were  
197 40-57, 57.1-65, and 65.1-76 cm as in Patrick et al. (2009). The parameter  $Ws_b$  per bin was  
198 0.236, 0.579, and 0.816, respectively.  $Ps_b$  was the probability of surviving a sea lamprey attack  
199 for length bin  $b$  (Patrick et al. 2009). Lake sturgeon that did not occur within one of the length  
200 bins were assumed to suffer no mortality from sea lamprey predation.

201 In a tank experiment, Patrick et al. (2009) assessed lake sturgeon survival following a sea  
202 lamprey attack by dividing lake sturgeon into three size classes. Their findings were somewhat  
203 inconsistent between the two smallest size classes where survival in Class I was significantly  
204 higher than survival in Class II. Patrick et al. (2009) acknowledged that these results were not  
205 expected and that small sample sizes or small host-to-lamprey weight ratios could have  
206 accounted for the higher mortality observed in Class II and higher survival in Class I. We believe  
207 a more likely relationship would be for survival to increase with size as noted by Swink (2003)  
208 for lake trout. Therefore, we created a linear relationship based on Patrick et al. (2009), such  
209 that  $Ps_b$  was 0.50, 0.74, and 0.84 per bin, respectively. Because age-0 individuals do not  
210 experience sea lamprey predation,  $Ms_0 = 0$ .

211 Patrick et al. (2009) did not include estimates of survival for lake sturgeon between 76  
212 and 95 cm, but fish between 95 and 150 cm had 100% survival following a sea lamprey attack.  
213 Sepulveda et al. (2012) found that fish > 76 cm were able to rapidly restore red blood cells  
214 following an attack but could not conclusively determine whether these fish could survive  
215 multiple attacks. Based on these two studies, we assumed that all lake sturgeon > 76 cm did not  
216 suffer mortality following a sea lamprey attack.

217 Length-at-age (cm) was estimated using the von Bertalanffy growth equation:

$$218 \quad L_a = L_\infty(1 - e^{-K(t-t_0)}), \quad (4)$$

219 where  $L_{\infty} = 228.638$ ,  $K = 0.023$ , and  $t_0 = -4.713$  (Harkness and Dymond, 1961). Using this  
220 relationship, lake sturgeon exceed 76 cm by age 13 while they are sub-adults.

221 Recruitment of age-0 fish ( $R$ ) was generated using a Beverton-Holt stock-recruit  
222 model (Quinn and Deriso, 1999):

$$223 \quad R = \frac{\alpha S}{(1 + \beta S)}, \quad (5)$$

224 where parameters  $\alpha$  and  $\beta$  were derived from values used in Sutton et al. (2003), which in turn  
225 were based on values from Pine et al. (2001). Our conventional parameterization differs from  
226 that of Sutton (2003) but represents the same function. The initial re-parameterization led to  $\alpha$   
227 = 1.25. This value was not plausible here because it implies that each unit of stock would  
228 produce 1.25 recruits at low population density, and stock size was measured in terms of eggs.  
229 Consequently, we set  $\alpha = 1.0$ , as the highest feasible value, and then adjusted  $\beta$  to be  $1.055 \cdot 10^{-7}$   
230 so that the equilibrium recruitment in the absence of TFM and sea lamprey mortality matched  
231 those of Sutton et al. (2003) for our baseline life-history parameters. Equilibrium recruitment  
232 was calculated following Quinn and Deriso (1999) (see Table 7.4). Stock size was determined as:

$$233 \quad S = \sum_{a=25}^{100} pf * ps * F_a * N_a . \quad (6)$$

234 The proportion of the spawning population that was female in each year,  $pf$ , was set to 35%  
235 (Auer, 1999). The proportion of those females that spawn each year,  $ps$ , was 20% (Sutton et al.,  
236 2003). Fecundity for each age was based on length (Harkness and Dymond, 1961) as:

$$237 \quad F_a = 3.76 * 10^{-3} * L_a^{3.59}. \quad (7)$$

238 We used the sum of lake sturgeon age 25+ at equilibrium as an indicator of population  
239 size given specific scenarios.

240

## 241 *Simulated Scenarios*

242 The parameters as described above are from the Base Model. We ran a series of  
243 scenarios where we varied either TFM-induced mortality on age-0 individuals or sea lamprey-

244 induced mortality on sub-adults, and evaluated the results relative to those of the Base Model.  
245 Because of uncertainty in some of the life-history or mortality values, we also ran scenarios  
246 where we varied specific parameter values while holding all other values constant to examine  
247 the impact of specific values on results, again relative to the Base Model.

248 To compare scenarios, we used the total abundance of age-25+ lake sturgeon at  
249 equilibrium. The absolute population abundance is, in part, driven by the asymptote of the  
250 stock-recruitment function, which was based on a different sturgeon species and further likely  
251 varied among lake sturgeon populations. Therefore, we used the relative change in abundance  
252 for each scenario compared to the Base Model as a basis for evaluating both tradeoffs between  
253 TFM and sea lamprey mortality, and sensitivity of results to the values of uncertain parameters.

254 Mortality rates due to TFM exposure vary widely between studies and streams. In  
255 laboratory experiments, Boogaard et al. (2003) found TFM toxicity exceeded 50% mortality at  
256 the minimum lethal concentration used for effective larval sea lamprey control. O'Connor et al.  
257 (2017) tested age-0 lake sturgeon for TFM toxicity from a number of streams and found that  
258 TFM-induced mortality ranged between 0 and 0.55. To explore the effects of higher and lower  
259 TFM toxicity, we set  $Mt_{a=0}$  to 0.12 which was observed in the Batchawana River, Ontario, having  
260 the lowest alkalinity (25 mg CaCO<sub>3</sub>/L) and lower TFM toxicity and set  $Mt_{a=0}$  to 0.55 from the  
261 Pigeon River, Michigan, which had the highest alkalinity (203 mg CaCO<sub>3</sub>/L) and TFM toxicity  
262 examined by O'Connor et al. (2017). Streams may also be treated with a combination of TFM  
263 and niclosamide to increase effectiveness of the lampricide. This combination produced higher  
264 toxicities on age-0 lake sturgeon (O'Connor et al. 2017). Nevertheless, we did not consider  
265 higher TFM toxicity, assuming that this treatment would only be used in known lake sturgeon  
266 streams when water chemistry was such as to limit toxicity. In the scenario where TFM toxicity  
267 is lower than the Base Model, it mimics the possible positive effects of using the Sturgeon  
268 Treatment Protocol (Klar et al., 1999; TOP:011.10A, 2012). In these two scenarios, sea lamprey  
269 predation on sub-adult lake sturgeon was held constant at the value used in the Base Model.

270 Sea lamprey predation on the fish community in the Great Lakes increases when TFM  
271 concentrations are reduced or streams are untreated (Heinrich et al., 2003; Smith and Tibbles,  
272 1980; Torblaa and Westman, 1980). It is difficult to predict how much sea lamprey predation

273 would increase if fewer larval sea lamprey were killed in streams and allowed greater sea  
274 lamprey production. We simulated different sea lamprey predation rates using a multiplier on  
275 sea lamprey-induced mortality ( $M_s$ ) such that predation rates increased by 1.1, 1.25, 1.5, 1.75,  
276 and 2.0 relative to that of the Base Model where  $M_s = 1.0$ . We chose this range to represent  
277 small to large increases in sea lamprey predation because the literature does not contain  
278 specific estimates of how sea lamprey predation changes when TFM is not applied to streams.

279         Sea lamprey wounding rates on lake sturgeon are highly variable across the Great Lakes.  
280 Examples of wounding rates on specific lake sturgeon populations include Lake Superior (0.003  
281 to 0.03 marks/fish; Josh Scholoesser, USFWS, August 2017, personal communication), Lake  
282 Michigan (0.052 marks/fish in open waters and 0.088 marks/fish in Green Bay; Rob Elliott,  
283 USFWS, August 2017, personal communication), and Lake Huron (0.51 marks/fish in the  
284 southern part of the lake and 0.48 in the Detroit River; Justin Chiotti, USFWS, August 2017,  
285 personal communication). Some surveys regularly found no sea lamprey wounds on lake  
286 sturgeon (Corey Jerome, Little River Band of Ottawa Indians, August 2017 and Troy Zorn,  
287 Michigan Department of Natural Resources, August 2017, personal communications). Many of  
288 these surveys did not record the length of the lake sturgeon or the types of sea lamprey marks,  
289 thus making it difficult to estimate the actual wounding rate for fish < 76 cm. Nearly all of these  
290 data came from surveys that did not target juvenile lake sturgeon. Because of the wide  
291 variation in wounding rates across lakes, we chose to conduct a sensitivity analysis on a range  
292 of sea lamprey wounding rates by setting  $W_r$  to 0.05 and 0.10. These alternate wounding rates  
293 produced a range of actual sea lamprey predation rates based on Equation #3 (Table 2). The  
294 unusually high wounding rates in southern Lake Huron and the Detroit River, likely reflect the  
295 high sea lamprey population in that area due to withholding TFM treatment to support native  
296 fish restoration efforts and are unlikely representative of a generic lake sturgeon population  
297 that we are emulating.

298         The probability of surviving a sea lamprey attack (Patrick et al. 2009) was reported with  
299 uncertainty that we did not include in the Base Model. To understand the potential effect of  
300 adding uncertainty to this relationship, we ran two sensitivity analyses on  $P_{s_b}$  by increasing  $P_{s_b}$   
301 by 20% and decreasing  $P_{s_b}$  by 20% on our linear adjustment to the Patrick et al. (2009) data.

302 In the Base Model, we used a small natural mortality rate ( $M_{a>6} = 0.01$ ) for sub-adult and  
303 adult lake sturgeon to represent a long-lived species with minimal mortality sources. We also  
304 examined the general literature on how natural mortality relates to life history and  
305 environment, and on this basis concluded that for older lake sturgeon natural mortality could  
306 be higher; e.g., based on published approaches values in the range of 0.035 seem plausible  
307 [(Srinath, 1998): 0.035, (Pauly, 1980): 0.048, (Hewitt and Hoenig, 2005): 0.042, (Jensen, 1996):  
308 0.066)]. Hayes and Caroffino (2012) and Schueller and Hayes (2010) set instantaneous natural  
309 mortality to 0.05 for sub-adult and adult lake sturgeon based on Baker (1980). Lake sturgeon  
310 populations have been found to be particularly sensitive to the rate of adult mortality  
311 (Schueller and Hayes, 2010; Velez-Espino and Koops, 2009), so we tested this sensitivity by  
312 setting the natural mortality rate on sub-adult and adult lake sturgeon to 0.05.

313 Juvenile lake sturgeon natural mortality had not been studied at the time of the Sutton  
314 et al. (2003) research. Sutton et al. (2003) used 0.25, borrowed from Gulf sturgeon (Pine et al.,  
315 2001), so we used this value in the Base Model to allow us to compare the new model to the  
316 Sutton model. More recent research has found that instantaneous natural mortality of juvenile  
317 lake sturgeon (ages 4-17) in Goulais Bay, Lake Superior was 0.14 (Pratt et al., 2014). We ran a  
318 simulation with this value for juvenile natural mortality, but otherwise the same as the Base  
319 Model to examine how this influenced results.

320 The length-at-age relationship used in the Base Model represented a generic lake  
321 sturgeon population used in the Sutton et al. (2003) model with average growth throughout the  
322 age ranges (Figure 1). Some lake sturgeon populations experience much quicker growth rates at  
323 earlier ages. Because several key parameters are length based, we explored the effect of higher  
324 early growth using a length-at-age relationship established for the Muskegon River, Michigan,  
325 lake sturgeon population (Harris et al., 2017).

326 Lake sturgeon population models have been found to be sensitive to several life-history  
327 parameters (Schueller and Hayes, 2010). We examined the influence of life-history parameters  
328 on the equilibrium population in four scenarios by varying particular parameters while leaving  
329 all others at the values used in the Base Model. Some observers have suggested that lake  
330 sturgeon could live significantly longer than 100 years, so we ran a scenario setting maximum

331 age to 150 years to mirror the oldest lake sturgeon caught in Wisconsin rivers. However, with  
332 loss of habitat and degraded water quality, it is equally likely that lake sturgeon life expectancy  
333 has declined, so we ran another scenario with the maximum age set to 80 years. In another  
334 scenario, we examined how a reduction in female-age-at-maturity influenced the model by  
335 reducing it from 25 to 15 years (Bruch, 1999; Hay-Chmielewski and Whelan, 1997). Loss of  
336 spawning habitat can reduce the frequency of lake sturgeon spawning (McDougall et al., 2014),  
337 which we simulated by reducing  $p_s$  to 0.1. Conversely, lake sturgeon habitat has been  
338 improving in some areas around the Great Lakes due to restoration efforts (Bennion and  
339 Manny, 2014; Hondorp et al., 2014; Roseman et al., 2011). To simulate possible improvements  
340 in spawning related to improved habitat, we set the proportion of females spawning each year,  
341  $p_s$ , to 0.3. To examine the sensitivity of the model to these changes, we compared the  
342 equilibrium abundance of all scenarios to the Base Model.

343

#### 344 *Estimating increased parasitic sea lamprey when not treating a stream*

345 Determining the impact of not treating a stream with lampricide is complex and includes  
346 the effects on multiple sea lamprey life stages and the effects across multiple streams in a lake.  
347 We used an existing stochastic operating model (MSE) that included data used for sea lamprey  
348 control efforts and a number of known uncertainties (Jones, 2009) to estimate the magnitude  
349 of increased density of larval lampreys in streams that are not treated with TFM. Typically, this  
350 model is used to determine which streams to treat with TFM given a control budget and other  
351 assumptions about a treatment regime, such as larval sea lamprey survival.

352 We used a newly added feature that stops TFM treatment on designated streams  
353 (Jensen, 2017). While lake sturgeon are found in a number of streams, we investigated three  
354 Lake Michigan tributaries considered to have increasing or stable lake sturgeon populations:  
355 the Big Manistee, Menominee, and Muskegon rivers (Hayes and Caroffino, 2012). We  
356 parameterized the MSE model using the sea lamprey control budget and calibration parameters  
357 used in Dawson et al. (2016) and Jensen (2017). We ran this model treating all streams, then  
358 removing each lake sturgeon stream from treatment, one at a time. Each simulation was run  
359 300 times for 100 years, and we report the mean number of sea lamprey parasites in the lake

360 basin created during the last 10 years over all simulations when the model reaches equilibrium.  
361 We also report the relative change in parasitic sea lamprey population size when one stream is  
362 untreated compared to treating all streams as the sea lamprey multiplier.

363

## 364 **Results**

365 When compared to the Base Model, reducing the TFM-induced toxicity from 0.21 to  
366 0.12 increased adult lake sturgeon abundance by 2.5% (Table 3). If TFM was not applied, there  
367 was no TFM-induced mortality on age-0 lake sturgeon and the equilibrium abundance was 5.7%  
368 higher than the Base Model (Table 3). In contrast, when TFM toxicity was higher ( $Mt_0=0.55$ ),  
369 adult lake sturgeon abundance declined by 9.3% from the Base Model (Table 3).

370 In model simulations where TFM was not applied, there would be an expected increase  
371 in larval sea lamprey survival which could lead to increased parasitism on large lake sturgeon  
372 and other fish species in the Great Lakes. However, the extent of this increase in sea lamprey  
373 predation on lake sturgeon is unknown. When sea lamprey predation increased by 10%, adult  
374 lake sturgeon abundance increased by 1.2% over the Base Model. Further increases in sea  
375 lamprey predation of 25, 50, 75, and 100% (doubling) caused adult lake sturgeon abundance to  
376 decline by 5.2, 15.1, and 24.0%, and 32% respectively (Table 3).

377 The relationship between equilibrium lake sturgeon abundance and the sea lamprey  
378 predation multiplier is approximately linear allowing us to estimate that an increase in sea  
379 lamprey predation on older lake sturgeon of 13% is the breakeven point where the effects of  
380 sea lamprey predation on sub-adult lake sturgeon have the same influence on equilibrium  
381 abundance as TFM-induced mortality, at the Base Model level, on larval lake sturgeon (Figure  
382 2). Thus, levels of sea lamprey predation greater than 13% will exceed the effect of TFM-  
383 induced mortality on larval lake sturgeon. When TFM toxicity is lower than the Base Model, the  
384 breakeven point is 1.07 or 7% and when TFM toxicity is higher, the breakeven point is 1.35  
385 (Figure 2).

386 The probability of surviving a sea lamprey attack and sea lamprey wounding rate are  
387 used to determine the mortality caused by sea lamprey predation. In scenarios where these

388 varied from the Base Model, they have a significant impact on the equilibrium abundance  
389 estimated by the model. Increasing the probability of surviving an attack by 20% increased the  
390 equilibrium abundance by 27.3%, while decreasing the probability of survival by 20% reduced  
391 abundance by 31.8% (Table 3). Likewise, changing the wounding rate impacted the model  
392 results as compared to the Base Model. A low wounding rate ( $Wr=0.05$ ) increased equilibrium  
393 abundance by 40%, while an intermediate wounding rate ( $Wr=0.10$ ) increased abundance by  
394 26.9% (Table 3).

395 We examined the breakeven level of sea lamprey predation across a range of wounding  
396 rates and TFM toxicity levels (Figure 3). Lower wounding rates require higher levels of sea  
397 lamprey predation to offset the impact of TFM toxicity on age-0 lake sturgeon regardless of  
398 TFM toxicity level. This suggests that when wounding rates are lower, lake sturgeon abundance  
399 may be increased by not applying TFM. Once wounding rates are higher than 0.10 marks/fish,  
400 the breakeven point is approximately flat at each TFM toxicity level (Figure 3), indicating little  
401 change in the breakeven point at higher wounding rates. Across all wounding rates, higher TFM  
402 toxicity required higher levels of sea lamprey predation to breakeven.

403 There are a number of mortality and life-history parameters that are unknown or not  
404 well-studied for Great Lakes lake sturgeon. Our model was sensitive to these parameters to a  
405 varying extent (Table 4). Using lower juvenile mortality ( $M_{a=1-6} = 0.14$ ), increased equilibrium  
406 abundance of adult lake sturgeon compared to the Base Model by 132.2% while increasing sub-  
407 adult and adult natural mortality ( $M_{a>6}=0.05$ ) caused the equilibrium abundance to decrease by  
408 87.8% (Table 4). Varying the natural mortality rate for juveniles and for sub-adults and adults,  
409 produced the largest changes in lake sturgeon abundance making these the most sensitive  
410 parameters in the model (Table 4).

411 Varying other life-history parameters also changed the equilibrium adult lake sturgeon  
412 abundance. Reducing the age of maturity from 25 to 15 years increased abundance by 20.0%  
413 (Table 4). Increasing the maximum age from 100 to 150 years increased abundance by 36.7%,  
414 whereas reducing the life expectancy to 80 years reduced abundance by 20.9 % (Table 4).  
415 Increasing the proportion of females that spawn each year from 20 to 30% only increased the



416 population by 1.3%, while reducing the proportion of females that spawn to 10% caused  
417 abundance to decline by 3.6% (Table 4).

418 Using an alternate length-at-age relationship impacts several other relationships in the  
419 model including fecundity, length-based wounding rates, and the probability of surviving a sea  
420 lamprey attack. When we applied a length-at-age relationship where individuals grew faster in  
421 early ages and reached an asymptote at an earlier age and smaller size, it had a large impact on  
422 lake sturgeon abundance, increasing adult abundance by 44.8% over the Base Model. This  
423 relative change in abundance was second only to the changes caused by altering juvenile and  
424 adult natural mortality (Table 4).

425 Although the model projections of absolute abundance were highly dependent on the  
426 values of the life-history and mortality parameters, the estimated breakeven point was very  
427 robust. All scenarios and parameter combinations produced a very similar breakeven point,  
428 demonstrating that relatively small increases in sea lamprey predation (about 13%) on sub-  
429 adult lake sturgeon could reduce lake sturgeon abundance (Table 4). Thus, while life-history  
430 parameters are critical to understanding lake sturgeon abundance, improving our  
431 understanding of predation mortality on sub-adult lake sturgeon as well as growth may be  
432 more important in terms of evaluating how sea lamprey control impacts lake sturgeon  
433 populations.

#### 434 *Effect of increased survival of larval sea lamprey*

435 The sea lamprey MSE model for Lake Michigan predicted that the parasitic sea lamprey  
436 population in the lake will increase when any one lake sturgeon stream is not treated with TFM.  
437 The amount of this increase is based, in part, on the amount of larval habitat that drives stream  
438 productivity. Untreated streams with more larval habitat have the potential to produce larger  
439 parasitic sea lamprey populations (Table 5). Subtracting the estimated number of parasitic sea  
440 lamprey when all streams are potentially treated results in a 2.1 sea lamprey multiplier when  
441 the Menominee River is not treated and a 22.6 and 32.8 sea lamprey multiplier when the Big  
442 Manistee and Muskegon rivers, respectively, are not treated (Table 5). While the sea lamprey  
443 multiplier for Menominee River is within the range of sea lamprey multipliers we used in our  
444 model, the multipliers for the Big Manistee River and the Muskegon River are more than 10

445 times higher than our upper sea lamprey multiplier value of 2.0, suggesting substantially higher  
446 impacts on lake sturgeon abundance and the fish community resulting from increased sea  
447 lamprey predation.

448

## 449 **Discussion**

450 Reducing mortality on a species undergoing restoration efforts enhances the chance of  
451 establishing a self-sustaining population. When reduction in mortality at one life stage can lead  
452 to an increase in mortality in another, however, the outcome is less clear. In this study we  
453 evaluated the tradeoff between TFM toxicity on age-0 lake sturgeon and sea lamprey predation  
454 on sub-adult sturgeon. We found that the effects of eliminating TFM toxicity could outweigh  
455 the effects of increased predation mortality in some situations, but this required either low  
456 levels of sea lamprey mortality initially or a small increase in predation mortality when TFM  
457 treatment was eliminated, or both. For example, with our baseline TFM toxicity and sea  
458 lamprey wounding rate, removing TFM toxicity can be balanced by a 13% increase in sea  
459 lamprey predation mortality. When the sea lamprey wounding rate was reduced to 0.01, it  
460 required a four-fold increase in sea lamprey predation for the gains in age-0 lake sturgeon  
461 survival to be offset by sea lamprey predation later in life (Figure 3). In our simulations, all  
462 scenarios led to sustainable populations of lake sturgeon. This reflects, in part, our choice of  
463 stock-recruitment parameters, and in particular the  $\alpha$  parameter of the Beverton-Holt function.  
464 If this parameter is standardized following Myers et al. (1999), it is not particularly high relative  
465 to other species of fish. This, however, reflects the life history of lake sturgeon and the slope  
466 parameter is as high as is feasible as it implies near 100% survival to the larval stage of eggs at  
467 low lake sturgeon densities. Under real-world conditions, this high value may more reflect the  
468 potential for reduced larval mortality when abundance is low, rather than 100% egg survival.  
469 Regardless, it is worth considering what the consequences would be if the recruitment  
470 productivity were so low that extinction might occur. Given that in our scenarios equilibrium  
471 recruitment was roughly constant, our results for relative equilibrium abundance translate to  
472 the approximate relative values of the Beverton-Holt stock recruitment parameter required to

473 avoid extinction. Thus, our results for equilibrium abundance also provide a guide on the  
474 relative risk of abundance.

475 Natural mortality on early life stages of lake sturgeon varies. Although natural mortality  
476 declines after the egg and larval stages, overall mortality on age-0 individuals is extremely high,  
477 exceeding 99% mortality (Caroffino et al., 2010a). Year-class strength is determined by climatic  
478 and hydrological conditions during the early life stages (Nilo et al., 1997). Pollock et al. (2015)  
479 summarized research conducted over more than 80 years that demonstrated how larval lake  
480 sturgeon survival is impacted by multiple factors including predation, maternal effects,  
481 spawning time, and within-river habitat variations. Thus, age-0 lake sturgeon face enormous  
482 challenges to their survival. Even with a life-history strategy that favors high fecundity and  
483 abundant offspring (Beamesderfer and Farr, 1997; Peterson et al., 2007), few individuals  
484 survive to age-1 even without the added mortality from TFM-induced toxicity. Research  
485 focusing on reducing early life stage mortality, particularly at the egg and larval stages, could  
486 allow more individuals to survive beyond the age and size where they are most vulnerable to  
487 TFM toxicity.

488 Early studies of TFM-induced toxicity on age-0 lake sturgeon predicted higher levels of  
489 toxicity (Bills et al., 2000; Boogaard et al., 2003; O'Connor et al., 2017). To reduce the impact of  
490 this toxicity on age-0 lake sturgeon, lower doses of TFM were used and treatment was delayed  
491 until later in the season, but overall effectiveness of the lampricide was reduced (Hayes and  
492 Caroffino, 2012). Subsequent studies on TFM toxicity were conducted by O'Connor et al. (2017)  
493 and these researchers found that toxicity varied among and within streams and was highly  
494 affected by alkalinity. Because of this variability, we used several values of TFM-induced toxicity  
495 to elicit the impact on lake sturgeon abundance. In conditions where TFM-induced mortality  
496 was eliminated, there is no added mortality source on age-0 fish over natural mortality. Thus,  
497 our highest estimated abundance occurred when lake sturgeon are not exposed to TFM-  
498 induced mortality and there is no increase in sea lamprey predation on sub-adult lake sturgeon.  
499 While this could occur in some streams, it is not likely to be the circumstance in all lake  
500 sturgeon streams.

501           A more likely scenario involves a tradeoff. If TFM is applied to a stream, TFM-induced  
502 mortality affects age-0 lake sturgeon but larval sea lamprey abundance will be reduced and  
503 likely reduce sea lamprey predation on sub-adult lake sturgeon. Alternately, not applying TFM  
504 to a stream reduces age-0 lake sturgeon mortality but increases survival of larval sea lamprey  
505 leading to increased sea lamprey predation on sub-adult lake sturgeon. Our model results  
506 suggest that if TFM application was stopped in lake sturgeon streams to eliminate TFM-induced  
507 mortality on age-0 lake sturgeon, there would only be a small increase in lake sturgeon  
508 abundance and only if sea lamprey predation on sub-adults is constant and relatively low.  
509 However, it is highly unlikely that sea lamprey predation would remain low if control of larval  
510 sea lamprey was terminated in streams with known larval sea lamprey populations and habitat  
511 capable of producing substantial numbers of parasites. Further, model results showed that only  
512 a modest increase in sea lamprey predation is needed to offset the benefits of lowered  
513 mortality on age-0 lake sturgeon.

514           We collected data from numerous surveys around the Great Lakes and found widely  
515 varying sea lamprey wounding rates, from 0.0 – 0.5 marks/fish. Lake sturgeon length was not  
516 always included in the survey data so we had little information on wounding rates of sub-adult  
517 lake sturgeon. Instead, we allowed the wounding rate to vary from 0.01 to 0.22 marks/fish and  
518 adjusted wounding rates from information on length-based wounding rates observed in lake  
519 trout. We believe this range is representative of the actual wounding rates across most of the  
520 Great Lakes and the analysis, which included a range of TFM toxicity, provides an interesting  
521 tradeoff. At low wounding rates, sea lamprey predation on sub-adults must be high to offset  
522 the effect of TFM toxicity on age-0 lake sturgeon. This implies that lake sturgeon abundance  
523 could be increased in areas where lake sturgeon wounding rates are low and TFM is not  
524 applied. This reduction in suppression of larval lamprey would increase sea lamprey predation  
525 on all large-bodied fishes. Across the Great Lakes there are few places where wounding rates  
526 on other species such as lake trout are trivial, and not treating with TFM and allowing the  
527 escapement of large numbers of larval sea lamprey would have substantial adverse effects on  
528 the fish community, even in places where lake sturgeon might benefit.

529           The influence of stopping lampricide treatment of streams on the number of surviving  
530 larval sea lamprey and how they influence parasitic-phase sea lamprey abundance and

531 predation is uncertain. Nevertheless, our simulations on changes in parasitic sea lamprey  
532 abundance resulting from excluding one of three Lake Michigan lake sturgeon producing  
533 streams from TFM treatment suggest that adult sea lamprey populations could increase  
534 substantially from 2 to over 32 times the mean abundance typically found in the lake.

535         The exact effect of such an increase in sea lamprey abundance on predation mortality  
536 experienced by lake sturgeon is unknown, but it is reasonable to expect a proportional increase  
537 equivalent to the rise in sea lamprey abundance. There is strong evidence that increases in sea  
538 lamprey abundance have led to increases in both attacks and mortality experienced by other  
539 prey fish such as lake trout and lake whitefish *Coregonus clupeaformis*, and to subsequent  
540 declines in their abundance (Adams et al., 2003; Harvey et al., 2008; Larson et al., 2003; Pycha,  
541 1980). In a comprehensive evaluation on Lake Ontario, integrated sea lamprey management,  
542 which includes stream treatments with TFM, reduced the abundance of larval lampreys causing  
543 a correlative reduction in lake trout wounding rates (Larson et al., 2003). When parasitic sea  
544 lamprey feed on lake trout, Bence et al. (2003) estimated that 0.75 lake trout were killed per  
545 feeding sea lamprey in Lake Michigan. With a very large increase in sea lamprey abundance it is  
546 likely that the number of lake trout killed per sea lamprey would decrease due to compensatory  
547 responses. Nevertheless, our simulations suggest an increase of 243,012 to 3,628,481 parasitic  
548 sea lamprey could result if just one lake sturgeon stream were excluded from treatment, and  
549 clearly this would lead to a large increase in numbers of lake trout killed.

550         The connection between sea lamprey abundance and mortality on a particular species is  
551 complicated because of feedbacks between any changes in the fish community through the sea  
552 lamprey functional response (Bence et al., 2003). For example, assuming a type-2 multi-species  
553 functional response, as is widely used for sea lamprey, if an increase in sea lamprey abundance  
554 led to a decrease in a preferred species abundance (such as lake trout) then one would expect  
555 the predation rate on alternative prey (which would include lake sturgeon) to increase more  
556 than in proportion to the increased sea lamprey abundance just because some attacks that  
557 would have occurred on the preferred prey now occur on the alternate. The extent of this  
558 effect would be greater if the prey selectivity for an alternative prey increased when a  
559 preferred prey declined (Bence et al., 2003). One would expect a given percentage increase in  
560 sea lamprey to lead to less than that percentage increase in mortality only if indirect effects

561 (e.g., release from competition) led to some alternative prey to increase sufficiently to draw  
562 away attacks from lake sturgeon. Regardless of uncertainty regarding how a specific fish  
563 species, like lake sturgeon, would be impacted, the aggregate fish production across the  
564 community used by additional sea lamprey resulting from not treating lake sturgeon producing  
565 streams would be substantial, as we showed in our simulations for Lake Michigan, consistent  
566 with the overall well-established adverse effects of sea lamprey on Great Lakes fish  
567 communities (Bence et al., 2003; Larson et al., 2003; Stewart et al., 2003; Swink, 2003). Even  
568 under circumstances where withholding TFM treatment may enhance lake sturgeon  
569 abundance, there will always be a need to carefully consider the impact of increasing sea  
570 lamprey predation on the lakewide fish community.

571 In addition to TFM, a number of control methods are used to limit larval sea lamprey  
572 populations including trapping or blocking spawning phase sea lamprey in streams (Adair and  
573 Sullivan, 2009). Models by Velez-Espino et al. (2008) showed that employing other larval sea  
574 lamprey control methods could reduce the application of TFM by 20% without an impact on  
575 lamprey abundance. Future research and new control techniques could alter or eliminate the  
576 use of TFM in the integrated management program. One promising program could be used to  
577 target the highest producing sea lamprey streams that also have high alkalinity, a combination  
578 of factors that leads to the worst set of outcomes. In two such streams, fisheries managers have  
579 attempted to remove the majority of age-0 lake sturgeon prior to TFM application, returning  
580 the lake sturgeon to the river after TFM toxicity has dissipated, lessening any toxic effects on  
581 the age-0 lake sturgeon (LRBOI, 2017). While this method appears promising, the economics of  
582 the procedure need to be evaluated and further study is needed to understand the tradeoffs of  
583 reduced age-0 survival due to removal versus TFM toxicity. Certainly, reducing mortality on all  
584 lake sturgeon life stages provides the best chance of rehabilitating the species. This said, TFM  
585 application has long been part of the integrated sea lamprey management plan and the success  
586 of this program is clear [e.g., Sullivan et al. (2016)]; therefore, eliminating TFM treatment on  
587 large parts of any lake's streams would profoundly affect ongoing sea lamprey control efforts  
588 given current capabilities for alternative control.

589 Lake sturgeon populations have been shown to be genetically diverse (Welsh et al.,  
590 2008) and possess varying life-history characteristics [e.g., age of maturity in Baker (1980) and

591 Billard and Leconte (2000)]. However, not all life-history parameters have been studied or  
592 updated to reflect current lake sturgeon populations. We employed data from several studies  
593 and used means for parameters when life-history values varied over a range to produce a  
594 generic Great Lakes lake sturgeon population model and we reported relative change in  
595 abundance to reflect trends rather than absolute change in abundance.

596 Our model predicted widely differing lake sturgeon abundances when life-history  
597 parameters were changed. Although absolute abundance was not the focus of this study, these  
598 results suggest that obtaining accurate information about key life-history parameters, such as  
599 female age-at-maturity, maximum age, or adult natural mortality, is critical to the development  
600 of realistic lake sturgeon population models. All of these parameters influence sub-adult and  
601 adult lake sturgeon population dynamics and have a greater impact on abundance in a long-  
602 lived species population model than additional mortality (e.g., TFM-induced mortality) on age-0  
603 fish with high natural mortality. Additionally, it will be critical to track changes in life-history  
604 characteristics as restoration continues. Recent improvements in water quality, increased  
605 quantity of habitat, and stocking programs successfully increased or re-established lake  
606 sturgeon populations and could eventually alter life-history characteristics such as life  
607 expectancy and fecundity (Peterson et al., 2007).

608 Future monitoring efforts should be directed towards improving estimates of sea  
609 lamprey length-based wounding rates on lake sturgeon. We found two types of critical  
610 information missing from a number of surveys -- lake sturgeon length and sea lamprey wound  
611 type. Additionally, lake sturgeon are also parasitized by silver lamprey that produce small  
612 wounds but these were not always clearly separated from the total number of wounds per fish.  
613 Length is an important factor to create length-based wounding rates and a clear understanding  
614 of the actual wound type is needed to separate old and new wounds. New surveys should be  
615 developed to target juveniles and sub-adults to acquire the best estimates of wounding rates  
616 on these size fish.

617 The lake sturgeon is a charismatic species, is culturally important to Great Lakes tribal  
618 communities, and has been the focus of considerable restoration efforts. For these reasons, the  
619 loss of any lake sturgeon is a serious concern. Sea lamprey predation poses a threat to sub-

620 adult lake sturgeon, while lampricide treatment of streams to reduce larval sea lamprey poses a  
621 threat to age-0 lake sturgeon. We created a population model to examine the tradeoff of these  
622 two sources of mortality on lake sturgeon equilibrium abundance. These results also provide  
623 insight on population viability given that the scenarios that led to higher abundance are also  
624 scenarios that could avoid extinction at relatively low levels of lake sturgeon recruitment  
625 productivity. Although further research is needed to quantify several lake sturgeon life-history  
626 variables and obtain better length-based sea lamprey wounding rates, our simulations of a  
627 generic lake sturgeon population show that for a highly fecund and long-lived species,  
628 minimizing sub-adult mortality sources improves adult recruitment under average conditions.  
629 Under conditions of low sea lamprey wounding rates, not applying TFM may be the better  
630 option to improve lake sturgeon abundance but only at the expense of higher sea lamprey  
631 predation rates on all large-bodied fish. Researching ways to eliminate TFM toxicity on larval  
632 lake sturgeon should be the focus of both lake sturgeon restoration and sea lamprey control.

633

634



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Table 1 – Description of symbols, their initial values, and their sources that were used in the age-structured lake sturgeon model.

Description	Symbol	Initial Value	Source
Numbers-at-age	$N_a$		
Ages (years)	$a$	Juveniles : 1-6 Sub-adults : 7-24 Adults: 25-100	Sutton et al. (2003)
Total instantaneous mortality	$Z_a$		
Natural mortality	$M_a$	$M_0=0.9998$ $M_{1-6}=0.25$ $M_{7+}=0.01$	Caroffino et al. (2010a) Sutton et al. (2003) Assumed for this paper
TFM-induced toxicity	$Mt_a$	$Mt_0=0.21$ $Mt_{1+}=0$	O'Connor et al. (2017)
Sea lamprey predation mortality	$Ms_a$		
Beverton-Holt stock-recruitment parameters	$R$ $\alpha$ $\beta$	1.0 $1.055 \times 10^{-7}$	Derived from Sutton et al. (2003) and Pine et al. (2001)
Stock size	$S$		
von Bertalanffy growth parameters for length-at-age $L_a$ (cm)	$L_\infty$ $K$ $t_0$	228.638 0.023 -4.713	Harkness and Dymond (1961) as used in Sutton et al. (2003)
Fecundity-at-age	$F_a$	$3.76 \times 10^{-8} \times L_a^{3.59}$	Harkness and Dymond (1961) in Sutton et al. (2003)
Proportion mature females	$pf$	0.35	Auer (1999) in Sutton et al. (2003)
Proportion of females spawning each year	$ps$	0.20	Priegel and Wirth (1977); Auer (1999) in Sutton et al. (2003)
Lake sturgeon length bins	$b$	40 – 57 cm 57.1 - 65 cm 65.1 - 76 cm	Bin sizes used in Patrick et al. (2009)
Sea lamprey overall wounding rate	$Wr$	0.22	Sutton et al. 2003
Scaling factor to convert $Wr$ to length ( $b$ ) based wounding rate	$Ws_b$	$Ws_{40-57 \text{ cm}}=0.263$ $Ws_{57.1-65 \text{ cm}}=0.579$ $Ws_{65.1-76 \text{ cm}}=0.816$	Ebener (unpublished data)
Probability of surviving sea lamprey attack for length bin $b$	$Ps_b$	$Ps_{40-57 \text{ cm}}=0.50$ $Ps_{57.1-65 \text{ cm}}=0.74$ $Ps_{65.1-76 \text{ cm}}=0.84$	Linear model based on Patrick et al. (2009)

Table 2: Calculated sea lamprey predation rates ( $Ms_b$ , Equation #3) for the Base Model and scenarios where either the overall wounding rate ( $Wr$ ) or the probability of surviving a sea lamprey attack ( $Ps_b$ ) varied from the Base Model. Lake sturgeon that do not fall within the length bins ( $b$ ) were assumed to suffer no mortality from sea lamprey predation.

<b>Description of model parameters</b>	<b><math>Wr</math></b>	<b><math>b</math> (cm)</b>	<b><math>Ws_b</math></b>	<b><math>Ps_b</math></b>	<b><math>Ms_b</math></b>
Lower wounding rate	0.05	40-57	0.263	0.50	0.003
		57.1-65	0.579	0.74	0.002
		65.1-76	0.816	0.84	0.002
Intermediate Wounding rate	0.10	40-57	0.263	0.50	0.013
		57.1-65	0.579	0.74	0.010
		65.1-76	0.816	0.84	0.008
Base Model	0.22	40-57	0.263	0.50	0.058
		57.1-65	0.579	0.74	0.045
		65.1-76	0.816	0.84	0.034
Lower probability of surviving a sea lamprey attack	0.22	40-57	0.263	0.40	0.087
		57.1-65	0.579	0.59	0.089
		65.1-76	0.816	0.67	0.088
Higher probability of surviving a sea lamprey attack	0.22	40-57	0.263	0.60	0.039
		57.1-65	0.579	0.88	0.017
		65.1-76	0.816	1.00	0.000

Table 3: Relative change in equilibrium population size of adult lake sturgeon (age 25+) compared to the Base Model for scenarios where TFM toxicity ( $Mt_0$ ) and overall sea lamprey wounding rate ( $Wr$ ) varied by scenario.

Parameters that varied from Base Model	TFM toxicity $Mt_0$	$Wr$	Relative change from Base Model
No TFM and sea lamprey multiplier 1.00	0	0.22	5.7%
No TFM and sea lamprey multiplier 1.10	0	0.22	1.2%
No TFM and sea lamprey multiplier 1.25	0	0.22	-5.2%
No TFM and sea lamprey multiplier 1.50	0	0.22	-15.1%
No TFM and sea lamprey multiplier 1.75	0	0.22	-24.0%
No TFM and sea lamprey multiplier 2.00	0	0.22	-32.0%
<b>Base Model</b>	<b>0.21</b>	<b>0.22</b>	<b>0.0%</b>
Low TFM Toxicity	0.12	0.22	2.5%
High TFM Toxicity	0.55	0.22	-9.3%
20% lower probability of surviving attack	0.21	0.22	-31.8%
20% higher probability of surviving attack	0.21	0.22	27.3%
Lower wounding rate	0.21	0.05	40.0%
Intermediate wounding rate	0.21	0.10	26.9%



Table 4: Relative change in equilibrium population size of adult lake sturgeon (age 25+) compared to the Base Model where each analysis varied one life-history parameter and all other values were held constant at the Base Model value. The breakeven point indicated the level of sea lamprey predation on sub-adult lake sturgeon that results in the same equilibrium abundance as TFM-induced mortality on larval lake sturgeon.

<b>Parameters that varied from Base Model</b>	<b>Relative change from <i>Base Model</i></b>	<b>Breakeven level of sea lamprey predation</b>
Maximum lake sturgeon age 80 years	-20.9%	1.13
Maximum lake sturgeon age 150 years	36.7%	1.13
Female maturity occurs at age 15	20.0%	1.13
Proportion of females spawning each year is 10%	-3.6%	1.13
Proportion of females spawning each year is 30%	1.3%	1.13
Juvenile natural mortality is 14%	132.2%	1.13
Adult natural mortality is 5%	-87.8%	1.13
Alternate growth curve from Muskegon River lake sturgeon population	44.8%	1.36

Table 5: Estimated mean number of sea lamprey parasites, from the MSE model (Jones, 2009), produced annually in Lake Michigan. Results are shown when all streams are potentially treatable and ranked for treatment, and when one stream, known to contain lake sturgeon (either the Menominee River, Big Manistee River, or Muskegon River) is not available for treatment. The sea lamprey multiplier is the relative change in the parasitic population when all streams are treated versus one stream left untreated. Larval habitat is shown as a measure of stream productivity and to highlight the reason for the large differences in estimated parasitic population size for among streams.

<b>Streams available for TFM treatment</b>	<b>Larval habitat (m<sup>2</sup>)</b>	<b>Estimated mean number of parasites in lake</b>	<b>Sea lamprey multiplier</b>
All streams	17,304,589	110,739	
All streams EXCEPT Menominee River	249,780	353,750	2.1
All streams EXCEPT Big Manistee River	1,600,132	2,616,471	22.6
All streams EXCEPT Muskegon River	2,667,427	3,739,220	32.8

## List of Figures

Figure 1 – Length-at-age of lake sturgeon used in the Base Model (black line) and the Muskegon River, Michigan (gray line). Dashed lines demarcate lengths at which mortality sources and the probability of survival from a sea lamprey attack change with text explaining what applies in a given length range.

Figure 2 – Breakeven point where the effect of sea lamprey predation on adult lake sturgeon on age 25+ equilibrium lake sturgeon population size matches the effect of TFM-induced toxicity on age-0 lake sturgeon on age 25+ equilibrium population size. The No TFM Applied line represents only the impact of sea lamprey predation on equilibrium population size across varying levels of the sea lamprey predation multiplier. The points represent the breakeven level of sea lamprey predation for varying degrees of TFM toxicity – Base Model  $Mt_o = 0.21$  (open square), low TFM toxicity  $Mt_o = 0.12$  (closed circle), and high TFM toxicity  $Mt_o = 0.55$  (closed triangle).

Figure 3 – The effect of changing sea lamprey wounding rate,  $Wr$ , on the breakeven point for the sea lamprey predation multiplier, where the effects of sea lamprey predation on adult lake sturgeon and TFM-induced toxicity on age-0 lake sturgeon have equal effects of age 25+ lake sturgeon equilibrium abundance. Each line represents results for varying TFM toxicity levels: Base Model  $Mt_o = 0.21$  (solid), low TFM toxicity  $Mt_o = 0.12$  (dashes), and high TFM toxicity  $Mt_o = 0.55$  (dots).

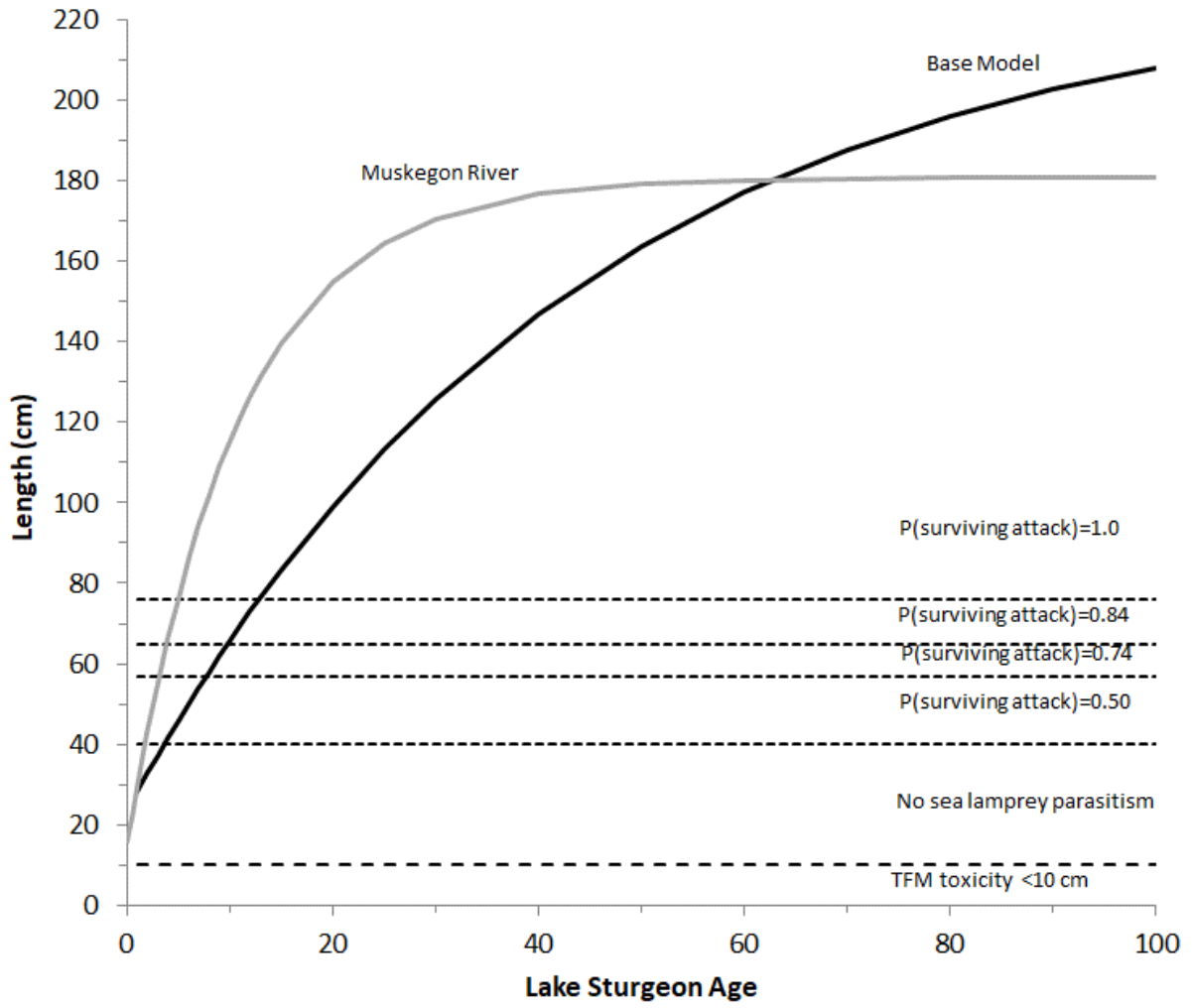


FIGURE 1

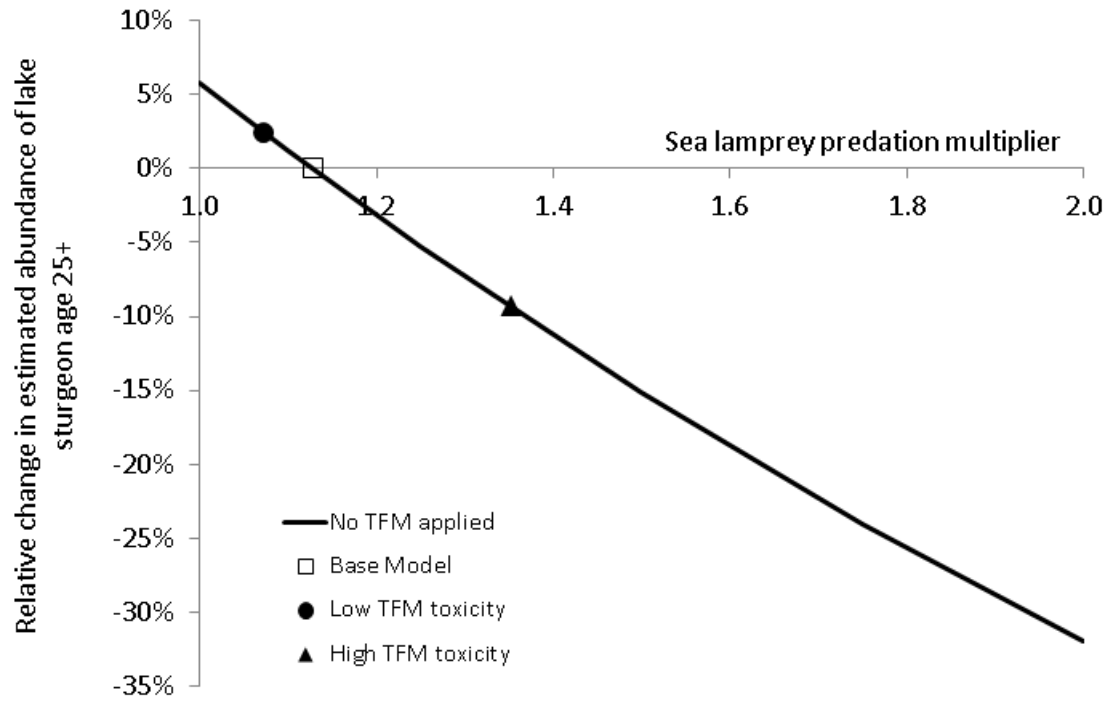


FIGURE 2

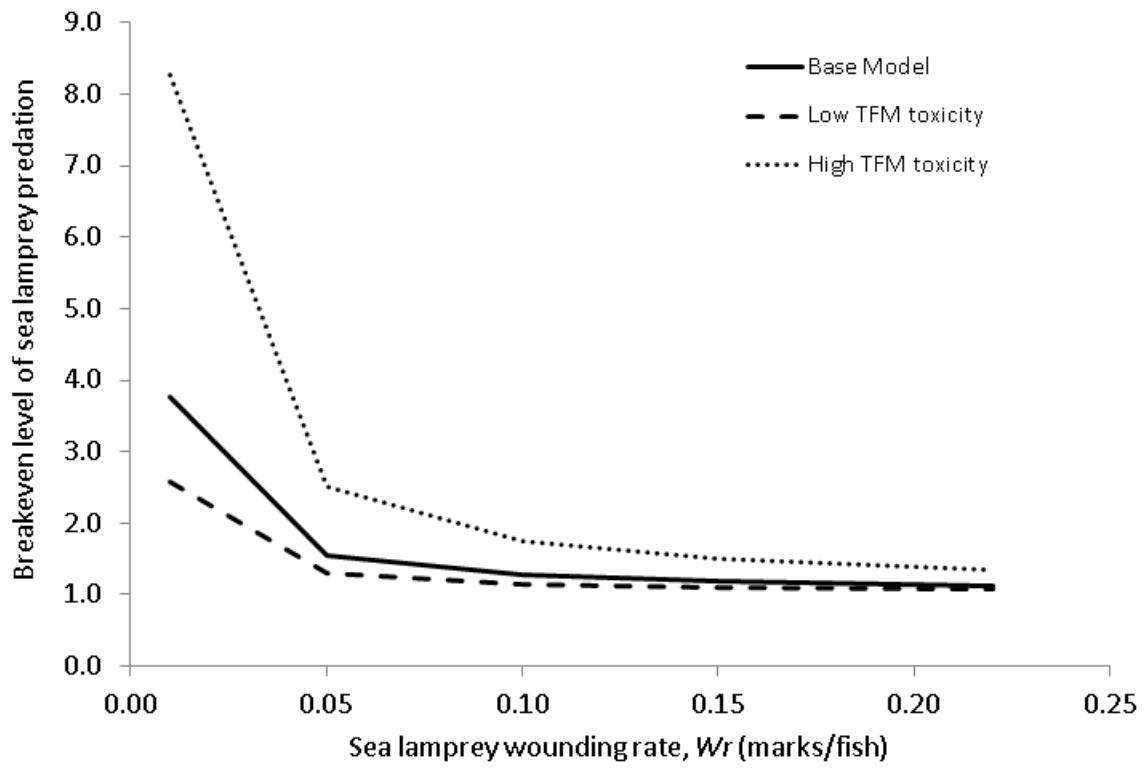


FIGURE 3